



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Kurt M. de Grosz et al.

Application No.: 09/917,287

Filed: July 27, 2001

For: INSURANCE BUSINESS SYSTEM

Examiner: Unassigned

Art Unit: 2166

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GROUP 3600 INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97 and

§1.98

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

Kurt M. de Grosz et al. Application No.: 09/917,287

Page 2

Applicant believes that <u>no fee is required</u> for submission of this statement.

However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

Richard T. Ogawa Reg. No. 37,692

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RPTO/SB/21 (08-00) Please type a plus sign (+) inside this box -> [+] Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE der the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. 09/917,287 Application Number **TRANSMITTAL** Filing Dat July 27, 2001 **FORM** First Named Inventor De Grosz (to be used for all correspondence after initial filing) 2166 Group Art Unit Unassigned **Examiner Name** Total Number of Pages in This Submission Attorney Docket Number 020884-000210US ENCLOSURES (check all that apply) After Allowance Communication to Assignment Papers Fee Transmittal Form (for an Application) Group Appeal Communication to Board of Fee Attached Drawing(s) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below): 1) Return receipt postcard Terminal Disclaimer Express Abandonment Request 2) Seven (7) References Request for Refund Information Disclosure Statement CD, Number of CD(s) The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Remarks Document(s) Total number of pages does not include cited references. Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm and Richard T. Ogawa Reg No. 37,692 Individual name 3

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Substitute for form 1449A/PTO

 Application Number
 09/917,287

 Filing Date
 July 27, 2001

 First Named Inventor
 de Grosz, Kurt M., et. al

 Art Unit
 2166

(use as many sheets as necessary) Examiner Name Unassigned

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 Attorney Docket Number
 020884-000210US

	U.S. PATENT DOCUMENTS						
Examiner	Cite No.1	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	AA	US-6,240,411 B1	05-29-2001	Thearling			
	AB	US-6,134,536	10-17-2000	Shepherd			
	AC	US-6,078,890	06-20-2000	Mangin et al.			
	AD	US-6,076,072	06-13-2000	Libman			
	AE	US-5,987,434	11-16-1999	Libman			

	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	For	eign Patent Doc Number ⁴	ument Kind Code ⁸ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
	AF	CA	2,289,249		05-09-2000			\boxtimes
*	AG	CA	2,229,674		08-21-1998	-		×
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